Mr. K, 31, immigrated to Brooklyn as a child with his family. At age 19, he was working as an auto mechanic in a relative’s garage when he had his first psychotic episode. He was hospitalized and diagnosed with paranoid schizophrenia, which was confirmed by his subsequent course of illness and a recent structured diagnostic interview.

Since becoming ill, Mr. K almost never leaves his house and socializes only with his mother and sister. Unable to work, he helps around the house and often cares for his nieces and nephews. He has no history of substance abuse and has been faithfully taking his medication since his last hospitalization 8 years ago.

Mr. K’s outpatient clinic chart reflects concern about persistent negative symptoms. He hardly speaks in session. Even when “stable,” his social and vocational functioning has been poor. Therapeutic dosages of oral haloperidol, haloperidol decanoate, and fluphenazine have not worked, and trials of risperidone and olanzapine—administered in therapeutic dosages for at least 3 months—were only slightly more effective. Attempts to treat his social withdrawal as a depressive symptom equivalent, with use of adjunctive selective serotonin reuptake inhibitors, also have been disappointing.

We eventually discovered that his so-called negative symptoms were in fact the manifestation of persistent positive symptoms (Table 1). His social withdrawal stemmed not from lack of motivation but from ideas of reference and constant paranoid fears. He believed that if he left the house, a street gang would kill him or his family. When he did venture outdoors, he thought that strangers were ridiculing or insulting him or intended to brutally attack him.

Mr. K spent much of his time at home reading, occasionally visiting the public library just long enough to check out a few books. Family members convinced him to attend church services, but he could not interact with other parishioners because he feared they would find out something was “wrong” with him.
How would you address Mr. K’s positive symptoms? 
Would you try another antipsychotic after lack of response to five other agents?

Drug treatment | A clinical trial
After a thorough evaluation, Mr. K entered a clinical trial during which he began taking another antipsychotic. But after 4 months at high therapeutic dosages, his positive symptoms showed no change from baseline.

We then recommended that Mr. K try clozapine. His persistent paranoid delusions and lack of response to other antipsychotics made him an ideal candidate for this agent. Because he was compliant, motivated, and had available family support, we were confident that he could surmount the vicissitudes of a clozapine trial.

Mr. K refused to try clozapine, however. After so many unsuccessful medication trials over the years, he said he felt some (albeit minor) benefits from his current study medication and wanted to stick with it.

What treatment options remain for Mr. K?

Dr. Weiden’s and Burkholder’s observations
Although the newer antipsychotics have greatly improved outcomes in schizophrenia over the past decade, many patients still battle persistent psychotic (positive) symptoms despite compliance with these medications. While clozapine remains the treatment of choice for positive symptoms, some patients cannot—or will not—take it because of its burdensome side-effect profile.

It is well accepted that supportive psychotherapy can help a person with schizophrenia confront the secondary issues of loss, disability, and stigma. But psychotherapy is rarely considered as an adjunct therapy for treatment-resistant positive symptoms. Skepticism about the role of psychotherapy is understandable, because older studies that demonstrated psychoanalytic psychotherapy’s lack of effect on schizophrenia’s positive symptoms have driven psychiatric medical education and practice for the past half-century. Other non-psychoanalytic therapeutic approaches had not been studied until recently, so most of us generalized from the disappointing results of the psychoanalytic psychotherapy research.

Still, there is a resurgence of interest in using cognitive-behavioral principles to treat core schizophrenia symptoms. Several randomized, controlled studies—almost all performed in the United Kingdom—have demonstrated that a cognitive-behavioral therapy (CBT) approach, modified for schizophrenia, is superior to more traditional supportive therapies in treating persistent positive and negative symptoms. Until recently, CBT for schizophrenia has generated little interest or research on this side of the Atlantic.

Continued treatment | A new approach
At this point, we decided to address Mr. K’s paranoid symptoms with CBT-based psychotherapy. The patient, whom we’d been seeing twice monthly for medication management, agreed to weekly 45-minute CBT sessions across 3 months. A third-year resident who had treated Mr. K during the antipsychotic clinical trial administered the therapy as described in the literature.

During the first sessions, we found that some of Mr. K’s fears of leaving the house stemmed from living in a poor inner-city neighborhood with a high rate of violent crime. A few sessions later, Mr. K was able to question some of his beliefs that assassins had targeted his family.

By the fourth week, the therapist discovered that Mr. K liked to read and viewed the local library as a reasonably safe place. Mr. K agreed to visit the library once a week and to record his experiences commuting to and from there.

After roughly 8 weeks of treatment, Mr. K was visiting the library twice a week, usually for 90 minutes at a time. He reported that he “had a good time,” but still suspected other
You might be thinking, “This sounds no different from what I do in practice!” The patient was reassured and encouraged to go out and live his life despite having symptoms.

In practice, however, some techniques used in the CBT approach to psychosis are quite different; some techniques are not intuitive, and some contradict most standard teachings of supportive psychotherapy in this country.

The CBT approach used for Mr. K differed greatly from traditional “medical model” supportive psychotherapy. The therapist:

• rejected a “brain disorder” approach to describing his illness7
• used the stress-vulnerability model to explain positive symptoms
• viewed psychotic symptoms as normal reactions rather than pathologic response
• considered psychological factors behind specific psychotic symptoms (Table 2).8
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The “brain disorder” explanation. The Kraepelinian model, which characterizes schizophrenia as a degenerative brain disorder, drives patient education. For example, one brochure for patients and their families refers to schizophrenia as “a brain disorder like Alzheimer’s disease.”

Such a comparison could devastate a young adult who is overwhelmed by symptoms and

library patrons were talking about him. Upon exploring these suspicions, we learned that Mr. K feared other library goers viewed him as “stupid” and that this fear was exacerbating some of his paranoid delusions.

Once Mr. K acknowledged that his mental illness was not outwardly recognizable, we could convince him that his fear of appearing “stupid” should not keep him housebound. He began to visit the library more frequently. Although he was still anxious, it no longer took him all day to summon the courage to leave home.

After about 10 weeks of treatment, Mr. K began going on bike rides twice a week. We were concerned that he was making too many changes at once, but he insisted he felt more “comfortable” and enjoyed the exercise.

Dr. Weiden’s and Burkholder’s observations
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<table>
<thead>
<tr>
<th>Table 1</th>
<th>POSITIVE SYMPTOMS THAT MAY APPEAR AS NEGATIVE SYMPTOMS</th>
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</thead>
<tbody>
<tr>
<td><strong>Apparent negative symptom</strong></td>
<td><strong>Underlying psychotic symptom</strong></td>
</tr>
<tr>
<td>Apathy</td>
<td>• Too preoccupied with hallucinations to be engaged in external environment</td>
</tr>
<tr>
<td></td>
<td>• Avoids television or radio because of idea of reference when either is turned on</td>
</tr>
<tr>
<td>Social withdrawal</td>
<td>• Fears leaving house because of perceived threat or danger</td>
</tr>
<tr>
<td></td>
<td>• Stimulation of being with other people increases psychotic symptoms</td>
</tr>
<tr>
<td>Poor hygiene</td>
<td>• Will not disrobe for a shower or bath for fear of sexual assault</td>
</tr>
<tr>
<td></td>
<td>• Believes water is poisoned</td>
</tr>
<tr>
<td></td>
<td>• Clothes considered protective, too risky to change</td>
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after being told he had a “brain disorder” that “interfered with his cognition.” While this corresponded with our knowledge of schizophrenia, he took this to mean he is “retarded” and “stupid.”

Telling the patient that he or she has schizophrenia—known in some clinical circles as “the S word”—is not necessary and may even be harmful in some cases. We’re not saying that giving a diagnosis of schizophrenia or using a medical model approach is wrong. However, patient education based on symptoms instead of diagnosis may be more conducive in some cases. The stress-vulnerability model explains psychosis without having to use a diagnostic label. Mr. K’s previous understanding of schizophrenia dovetailed with his low self-esteem. His self-perceived stupidity also had discouraged him from confronting his paranoid anxieties.

Once he realized that unrelenting psychotic symptoms—not his IQ—held him back, we could form a treatment plan. We explained Mr. K’s paranoid symptoms with the stress-vulnerability model: His fears and suspicions worsened whenever he was under stress. This allowed us to sidestep the “brain disorder” model that demoralized him.

By no means, however, did we reject the notion that schizophrenia has a biologic basis. Even if “schizophrenia” is never mentioned, this diagnosis still guides treatment. Viewing psychotic symptoms as normal reactions. The therapist extensively examined Mr. K’s safety concerns for himself and his family. The therapist acknowledged that these concerns were legitimate, as the patient lives in an inner-city neighborhood plagued by violent crime. Mr. K was then praised for his devotion to his family.

By applying normalization techniques, the therapist found fact-based aspects of the delusional beliefs. Normalizing Mr. K’s safety concerns made him feel validated. From there, we could map out a plan for him to periodically leave his home. We devised a routine that addressed his safety concerns: He went to the library only in daylight. He chose a subway route that was less convenient but made him feel more comfortable. Once at the library, he called home to make sure his family was safe, then sat at an open table so he could watch other library patrons come and go.

Once this routine was established, we could address the more idiosyncratic delusions that caused Mr. K considerable stress and anxiety. We asked him to explain the evidence...
behind his belief that trained assassins were targeting his family. He could not do so and eventually admitted that this thinking was misguided. His fears gradually shifted from specific threats targeted at him and his family to nonspecific fears of the randomness and unfairness of life.

**Placing psychosis in psychological context.** We tried to understand the psychology of his paranoid thoughts while viewing his symptoms as part of a neurobiologic disorder.

What initially looked like “garden variety” paranoid delusions had a psychological meaning to Mr. K. His dread of public humiliation was intertwined with his fear of assassination. Once understood, these two fears could be isolated and became easier to treat.11 We traced Mr. K’s fear for his family’s safety to his being the oldest male in a matriarchal household. Because his illness prevented him from assuming the role of breadwinner, all that was left was for him to guard his family from the imagined threat of assassination.

**Caveats** The CBT techniques outlined in the literature for schizophrenia vary greatly from those used in depression or anxiety disorders. In order for CBT to be effective in schizophrenia, the therapist must have considerable experience working with patients with schizophrenia and must receive specialized training and supervision in CBT techniques modified for persons with schizophrenia.

Further, Mr. K continued to take his antipsychotic medication during the 3-month CBT course. We are not suggesting that CBT be administered in lieu of drug therapy, nor can we claim that CBT will be consistently effective against positive symptoms. What’s more, this case does not take into consideration patients who are persistently psychotic because of suboptimal dosing, poor compliance, or substance abuse.

**Follow-up** Continued progress

Mr. K continued to improve after the CBT sessions ended. He completed a summer art class despite recurrent paranoia and lingering fears of social interaction. As of this writing, he was considering taking another course.

Three months after his last session, Mr. K was still regularly visiting the library. He also began walking his niece to and from school each day. His paranoid ideation and ideas of reference appeared to be lessening in intensity. Last fall, he joined a gym. He also reported comfortably conversing with people other than immediate family members or mental health clinicians.

**Related resources**


**References**


**Cognitive-behavioral therapy modified for schizophrenia may help reduce persistent positive symptoms after antipsychotics alone have failed. Its effective use hinges on appropriate patient selection and the clinician’s comfort with the underlying principles of cognitive therapy for psychosis.**
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